

Customer No.	026418	
Attorney's Docket No.:	GK-ZEI-3153 / 500343.20154	
U.S. Application No.:	10/049,802	
International Application No.:	PCT/EP01/07768	
International Filing Date:	JULY 06, 2001	06 JULY 2001
Priority Date Claimed:	JULY 06, 2000	06 JULY 2000
Title of Invention:	RECOGNITION OF THE MAXIMUM POSITION OF A REVOLVING DIAL OR SLIDE ON MICROSCOPES	
Applicant(s) for (DO/EO/US):	Gunter MOEHLER and Rolf-Gero RAU	

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ☐ has been transmitted by the international Bureau
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☒ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ☐ have been transmitted by the International Bureau
 - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. To 16. Below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ 13. ☒ A **FIRST** preliminary amendment
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☒ 14. A **substitute specification** (attached to preliminary amendment w/ marked-up/bolded versions)
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **371 Formalities letter dated April 19, 2002**

EXPRESS MAIL No.: EV 314 321 473 US

Deposited: June 19, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

 /Ruth Montalvo Date: June 19, 2002

06/26/2002 LLANDGRA 00000003 10049802

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130.00 UP

CALCULATIONS

PTO USE ONLY

17. The following fees are submitted:**BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]**

- ☒ Search Report has been prepared by the EPO or JPO..... \$ 890.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 710.00
- ☐ No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 740.00
- ☐ Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,040.00
- ☐ International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT:

Claims

Number
Filed

-20

Number
Extra

-03

Rate

Total Claims

1

x \$ 18. =

Indep. Claims

1

x \$ 84. =

☒ Multiple Dependent Claim(s) (if applicable) + \$ 280. =**TOTAL OF ABOVE CALCULATIONS:**Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30 months from the earliest claimed priority date [37 CFR 1.492(e)]**\$130.00****TOTAL OF ABOVE CALCULATIONS:****\$130.00**

Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by ½ for filing by small entity

SUBTOTAL:**\$130.00**Processing fee of \$130.00 for furnishing the English Translation (to follow) later on ☐ 20 ☐ 30 months from the earliest claimed priority date [37 CFR 1.492(f)]**TOTAL NATIONAL FEE:****\$130.00**

Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31] \$ 40.00 per property +

TOTAL FEE(S):**\$130.00**AMOUNTS TO BE
REFUNDED OR CHARGED**REFUNDED
CHARGED**\$
\$~~(Please note the filing fee is based on the claims in the Preliminary Amendment)~~

- ☒ Check in the amount of **\$ 130.00** to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)

NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:**Gerald H. Kiel, Esq.****(Customer No. 026418)**

Reed Smith LLP

375 Park Avenue

New York, NY 10152

Gerald H. Kiel

Phone (Tel. (212) 521-5400)

Signature

25,116

Reg. No.

June 19, 2002

Date



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/049,802	Gunter Moehler	GK-ZEI-3153/500343.20154

INTERNATIONAL APPLICATION NO.

PCT/EP01/07768

I.A. FILING DATE	PRIORITY DATE
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07/06/2001

026418
REED SMITH LLP
375 PARK AVENUE
NEW YORK, NY 10152

CONFIRMATION NO. 6201

371 FORMALITIES LETTER



OC000000007914039

Date Mailed: 04/19/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Translation of the application into English.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

DOCKET

A copy of this notice **MUST** be returned with the response.

DUE June 19, 2002 *Excluded Tech. Dir*
June 19, 2002 *1 translation Dir*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/049,802	PCT/EP01/07768	GK-ZEI-3153/500343.20154

FORM PCT/DO/EO/905 (371 Formalities Notice)